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*****Includes Practice Test Questions*****

Get the test prep help you need to be successful on the Series 63 test.

The Series 63 Exam is extremely challenging and thorough test preparation is essential for success. ***Series 63 Exam Secrets Study Guide*** is the ideal prep solution for anyone who wants to pass the Series 63 Exam.

Not only does it provide a comprehensive guide to the Series 63 Exam as a whole, it also provides practice test questions as well as detailed explanations of each answer.

Series 63 Exam Secrets Study Guide includes:

- A thorough review for the Series 63 Exam
- A breakdown of the foundations
- An analysis of the registration of persons
- An examination of securities
- A guide to business practices
- An in-depth look at administrative provisions and other remedies
- Comprehensive practice questions with detailed answer explanations

It's filled with the critical information you'll need in order to do well on the test the concepts, procedures, principles, and vocabulary that the North American Securities Administrators Association (NASAA) expects you to have mastered before sitting for the exam.

The Foundation section covers:

- Early securities regulations
- Uniform Securities Act
- Securities Act of 1933 and Securities Exchange Act of 1934
- Securities Investor Protection Act of 1970

The Registration of Persons section covers:

- Person
- Entities regulated by USA
- Broker-dealers
- Investment adviser
- Registration requirements
- Registration process

The Securities section covers:

- Security
- Registration
- Registration by coordination
- Registration by notice filing
- Registration by qualification
- Exemptions from registration
- Except transactions
- Federal covered securities

The Business Practices section covers:

- USA provisions for ethical business practices
- Fiduciary responsibility
- Prohibited practices
- Broker-dealer trade practices
- Agent trade practices
- Investment adviser trade practices

The Administrative Provisions and Other Remedies section covers:

- Administrator operating procedures
- Administrative actions
- Criminal and Civil Penalties

These sections are full of specific and detailed information that will be key to passing the Series 63 Exam. Concepts and principles aren't simply named or described in passing, but are explained in detail. The guide is laid out in a logical and organized fashion so that one section naturally flows from the one preceding it. Because it's written with an eye for both technical accuracy and accessibility, you will not have to worry about getting lost in dense academic language.

Any test prep guide is only as good as its practice questions and answers, and that's another area where our guide stands out. Our test designers have provided scores of test questions that will prepare you for what to expect on the actual Series 63 Exam. Each answer is explained in depth, in order to make the principles and reasoning behind it crystal clear.

We've helped thousands of people pass standardized tests and achieve their education and career goals. We've done this by setting high standards for our test preparation guides, and our ***Series 63 Exam Secrets Study Guide*** is no exception. It's an excellent investment in your future.

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